

COPY

BOX MISSING PARTS  
PATENT  
365-502P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: HYVARINEN, Jaakko et al. Conf.: unassigned  
Appl. No.: 09/842,707  
09/831,272 Group: unassigned  
Filed: April 27, 2001 Examiner: UNASSIGNED  
For: METHOD & DEVICE FOR MODIFYING THE  
IRRADIANCE DISTRIBUTION OF A RADIATION  
SOURCE

THIS IS NOT A NEW PATENT APPLICATION

LETTER SUBMITTING DOCUMENTS  
FOR COMPLETION OF AN APPLICATION  
PURSUANT TO 37 C.F.R. § 1.53(f) and/or § 1.53(d)

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, DC 20231

June 28, 2001

Sir:

The application papers for the above-identified application were originally filed on April 27, 2001 and the application was assigned Appl. No. 09/831,272.

DOCUMENTATION

- ☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) (☒ original ☐ photocopy), necessary for completing the filing requirements in connection with the above-identified

to the specification at the time of execution. The attached

Appl. No. 09/831,272

specification is a true copy of the specification that was filed in the U.S. Patent and Trademark office on April 27, 2001, including any amendments thereto (if applicable) filed on even date therewith.

- ☒ The undersigned hereby declares that "Attorney Docket No. 365-502P on page 1 of the attached inventors' Declaration, corresponds to Appl. No. 09/831,272, filed April 27, 2001, entitled "METHOD & DEVICE FOR MODIFYING THE IRRADIANCE DISTRIBUTION OF A RADIATION SOURCE."
- ☐ Attached are \_\_\_\_\_ ( ) sheet(s) of formal drawings.
- ☐ Attached is a copy of the Notice to File Missing Parts of Nonprovisional Application.
- ☐ Attached is an English language translation of the above-identified application that was filed in a foreign language.
  - ☐ See the attached Translator's Verification; or
  - ☐ The undersigned states that the English translation attached hereto is a true and correct translation of the application as originally filed in a foreign language.
- ☐ Applicant claims small entity status under 37 C.F.R. § 1.27.
- ☒ Submitted concurrently herewith **under separate cover** for recording is an Assignment.

**FEES**

The Government Filing Surcharge(s) (37 C.F.R. § 1.16(e) and/or § 1.17(k)) and the basic Government Filing Fee(s) (37 C.F.R. § 1.16(a)-(d), if applicable) is/are attached hereto and calculated as follows:

- ☐ Basic Filing Fee(s): \$0.00 (37 C.F.R. § 1.16(a)-(d))
- ☒ The Government Filing Surcharge under 35 U.S.C. § 1.16(e) for late filing of filing fee, oath and/or declaration:
  - ☒ Large Entity - \$130.00
  - ☐ Small Entity - \$ 65.00)

- ☐ The Government Filing Surcharge under 37 C.F.R. § 1.17(k) for filing of translation of non-English Specification in the amount of \$130.00:

- ☐ was previously paid for concurrently with the filing of the application on .
- ☐ is attached hereto.

- ☒ No extension fee is required because the undersigned has not yet received the Notice to File Missing Parts of Nonprovisional Application. However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

- ☐ Applicant hereby respectfully petitions for a ( )

37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

Appl. No. 09/831,272

- ☒ A check in the amount of \$130.00 to cover the basic filing fee(s), surcharge fee(s), and any extension of time fees (if applicable) is enclosed.
- ☐ Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
Leonard R. Svensson, #30,330

LRS/clh  
365-502P

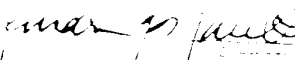
P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

Attachments

Rev. 11-22-01

I hereby certify that this correspondence was deposited with the United States Patent and Trademark Office by first class mail, postage prepaid. This letter is filed with the Commissioner of Patents and Trademarks, Washington, D.C.

D.C. 20231 on: June 28, 2001  
(Date of deposit)

  
06-28-01

0360



PATENT  
0365-0502P

IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANT: HYVARINEN, Jaako et al  
APPL. NO.: 09/842,767  
FILED: April 27, 2001  
FOR: METHOD AND DEVICE FOR  
MODIFYING THE IRRADIANCE  
DISTRIBUTION OF A RADICAL  
SOURCE

LETTER IN ACCORDANCE WITH MPEP §724.06 III. (7<sup>th</sup> EDITION) FOR THE  
TRANSFER OF PAPERS TO THE CORRECT APPLICATION FILE

November 1, 2001

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

On June 28, 2001, application papers intended for the above-identified application were inadvertently and by mistake filed in the matter of unrelated application **Serial No. 09/831,272**, since such serial number was mistakenly placed on such papers through an inadvertent error without deceptive intent. Accordingly, the serial number on the filed papers was

Filing Date, Title, Assignee and Attorney Docket Number (0365-

The documents filed on June 28, 2001 included an original signed Assignment and Declaration and Power of Attorney form for the instant application (with recordation cover sheet), as well two checks (1) \$130.00 check number 70011433 and (2) \$40.00 check number 70011432. Again, such documents are incorrect to the extent that they contain or state an incorrect serial number thereon.

Accordingly, it is submitted that in accordance with the procedures of MPEP §724.06 III., sufficient identifying information is provided on said papers for the USPTO to transfer said papers from application file Serial No. **09/831,272** to the instant application file Serial No. **09/842,767**, without a need to return said papers to the applicants or the undersigned. In this respect, the provisions of MPEP §724.06 III., specifically provide that:

"Where the Office [USPTO] can determine the correct application file that the papers were actually intended for, based on identifying information in the headings of the papers (e.g., application number, filing date, title of invention and inventor(s) name(s)), the Office will transfer the papers to the correct application file for which they were intended without the need of a petition." (emphasis added)

For clear identification of the papers, a copy of the incorrect serial No. 09/831,272 has been removed from the file and the correct serial No. 09/842,767 has been inserted by hand.

If the Examiner has any questions regarding the above matters, please contact Applicants' representative, Leonard R. Svensson at (714) 708-8555.

If necessary, the Commissioner is hereby authorized in this, concurrent, and further replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By: 

Leonard R. Svensson  
Reg. No. 30,330


P. O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

LRS/clh

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

November 8, 2011

  
Christy Hennigan

Date